

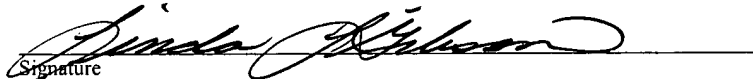


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application: Stanley J. Watowich, Meghan Kunkel and Marta Lorinczi  
Serial No. 09/713,687  
Filed: November 15, 2000  
For: VIRAL PSEUDO-CAPSIDS INCLUDING ASSEMBLY AGONISTS AND ANTAGONISTS

**Certificate of Mailing under 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as First Class Mail, in an envelope, addressed to: **BOX: MISSING PARTS**, Commissioner for Patents, Washington, D.C. 20231 on June 1, 2001.

  
Signature  
Linda L. Gibson  
Typed or printed name of person signing certificate

**BOX: MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application mailed, April 3, 2001, copy of which is returned herewith, Applicants respectfully submit this Response.

**Declaration**

As required by the Notice of Missing Parts of Application, Applicants hereby enclose an executed Declaration.

**Correction of Filing Receipt**

In connection with the above-referenced U. S. Patent Application, Applicants respectfully request that the reference pertaining to "Small Entity Status" be included on the Filing Receipt. Applicants submitted a copy of the Fee Calculation Sheet at the time of filing the Patent Application, on November 15, 2000, wherein they requested Small Entity Status. Enclosed is a copy of that Fee



Calculation Sheet along with a copy of the Post Card that also reflects Applicants' claim for Small Entity Status.

**Required Fees**

Enclosed is a check in the amount of \$1,032.00 to cover the surcharge filing fee for Small Entity Status in the amount of \$65.00, a filing fee for Small Entity Status in the amount of \$355.00, a fee in the amount of \$252.00 for filing twenty (20) extra claims, a fee in the amount of \$360.00 for filing nine (9) extra dependent claims.

**Conclusion**

Applicants respectfully submit that all required parts for the application have now been filed and that no additional fees are required. It is believed that no other fees are necessary. However, if this is incorrect, the Commissioner is hereby authorized to deduct said fees or credit any overpayment of said fees to/from our Deposit Account No. 07-0153.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "S. E. Warren, Jr.", written over a horizontal line.

Sanford E. Warren, Jr.  
Reg. No. 33,219

ATTORNEY FOR APPLICANTS

Dated: June 1, 2001

GARDERE WYNNE SEWELL LLP  
3000 Thanksgiving Tower  
1601 Elm Street  
Dallas, Texas 75201-4761  
(214) 999-4559  
(214) 999-3559 (Fax)



Attorney(s): ESFLORES:llg

Client/Matter#: 122144-1008

Title: VIRAL PSEUDO-CAPSIDS INCLUDING ASSEMBLY AGONISTS AND ANTAGONISTS

Inventor(s): Stanley J. Watowich, Meghan Kunkel and Marta Lorinczi

Today's Date: November 15, 2000 Due Date: N/A Filed with U. S. Patent Office on: November 15, 2000

Check Amount: \$ N/A

Serial/Patent No.: N/A

**APPLICATION FOR PATENT [Check all items that apply]:**

66 Spec pages 48 Claims

0 Drawings 0 Sheets

☒ Declaration UNSIGNED ☐ Oath ☐ Power

☐ Declaration Claiming Small Entity

☐ Assignment

☐ Continued Prosecution Application

☐ Divisional

☐ CIP Application

☐ Preliminary Amendment

☐ Information Disclosure Statement

☐ Amendment

☐ Amendment Under Rule 1.312

☐ Amendment After Final

☐ Issue Fee Transmittal

☒ Letter

☐ Notice of Appeal

☐ Brief

☐ Patent Maintenance Fee

☐ Petition:

☐ Response

☐ Status Request

☒ Other: Sequence Listing w/diskette

☒ Certificate of Mailing Express Mail

**EL646666539US**

☐ First Class Mail

☐ Hand Delivery via Rachel Carmichael

**Applicant claims Small Entity Status for the above application.**



## IN THE U.S. PATENT AND TRADEMARK OFFICE

**BOX: PATENT APPLICATION**Commissioner for Patents  
Washington, DC 20231

Sir:

"EXPRESS MAIL" mailing label number EL646666539US Date of Deposit: November 15, 2000. I certify that the accompanying Application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to **BOX: PATENT APPLICATION**; Commissioner for Patents, Washington, DC 20231.

  
Linda L. Gibson

Transmitted herewith for filing is the patent application of:

**EL646666539US****Inventors: Stanley J. Watowich, Meghan Kunkel and Marta Lorinczi****For: VIRAL PSEUDO-CAPSIDS INCLUDING ASSEMBLY AGONISTS AND ANTAGONISTS**

Enclosed are:

Applicants claim Small Entity Status for the above application;  
Application (66 pp.);  
Copy of Declaration by Three Inventors (Unsigned);  
Applicants claim Small Entity Status for the above application;  
Hard Copy of Sequence Listing and Diskette in ASCII-DOS format in accordance with the requirements of 37 C.F.R. 1.824. The hard copy and diskette are the same as required by 37 C.F.R. .821(f); and  
Return Post Card.


This Application is a conversion from, and claims priority to, Provisional Patent Application Serial Number 60/166556, filed November 19, 1999.

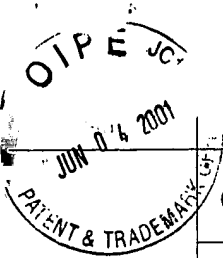
FEE CALCULATION					FEE
	NUMBER		NUMBER EXTRA	RATE	BASIC FEE \$355.00
Total Claims	48	- 20 =	28	x \$9=	\$ 252.00
Independent Claims	12	- 3 =	9	x \$40 =	\$ 360.00
TOTAL FEES					\$ 967.00

All correspondence related to this application may be addressed to the undersigned at Gardere Wynne Sewell LLP, 3000 Thanksgiving Tower, 1601 Elm Street Suite 3000, Dallas, Texas 75201-4767.

November 15, 2000

Date

  
Edwin S. Flores  
Attorney for Applicant(s)  
Reg. No. 38,453



**GARDERE**

attorneys and counselors ■ [www.gardere.com](http://www.gardere.com)

Direct: 214-999-4339  
Direct Fax: 214-999-3339  
[swarren@gardere.com](mailto:swarren@gardere.com)

June 1, 2001

**BOX: MISSING PARTS**  
Commissioner for Patents  
Washington, D.C. 20231

Re: Inventor: Stanley J. Watowich, Meghan Kunkel and Marta Lorinczi  
Patent Application Entitled: VIRAL PSEUDO-CAPSIDS INCLUDING ASSEMBLY  
AGONISTS AND ANTAGONISTS  
Serial No.: 09/713,687  
Filing Date: November 15, 2000  
Our File No.: 122144-1008

Dear Sir:

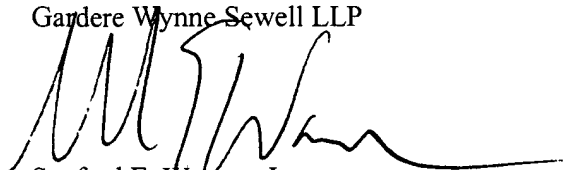
In regard to the above-referenced matter, enclosed for filing please find the following Items:

1. Response to Notice to File Missing Parts (2 pp.);
2. Copy of Notice to File Missing Parts, Part 2 (1 p.);
3. Executed Declaration (4 pp.);
4. Copy of Post Card Submitted on November 15, 2000 with Patent Application (1 p.);
5. Copy of Patent Application - Fee Calculation Transmittal Sheet Submitted, on November 15, 2000 with the Patent Application (1 p.);
6. Check in the amount of \$1,032.00 for the payment of the filing fee, extra claims and surcharge; and
7. Return postcard.

Please file the above-referenced documents and return the date-stamped postcard to our office at the above address. It is believed that no additional fees are due. If this is incorrect, the Commissioner is hereby authorized to charge any fees due which may be required by this paper to Deposit Account No. 07-0153.

In the meantime, if you have any questions or comments concerning any of the above, please call the undersigned at your convenience. Otherwise, please accept the enclosed.

Sincerely,  
Gardere Wynne Sewell LLP

  
Sanford E. Warren, Jr.

SEW/lfg

Enclosures

**Certificate of Mailing under 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage as First Class Mail, addressed to: MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231 on June 1, 2001.

  
Signature

Linda L. Gibson

Typed or printed name of person signing certificate



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/713,687	11/15/2000	Stanley J. Watowich	122144-1008

CONFIRMATION NO. 5312

## FORMALITIES LETTER



\*OC000000005929127\*

Sanford E Warren  
Gardere Wynne Sewell LLP  
1601 Elm Street  
Suite 3000  
Dallas, TX 75201-4761

Date Mailed: 04/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1224.
  - \$504 for 28 total claims over 20.
  - \$720 for 9 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2064.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/05/2001 RHARTS1 00000032 09713687  
01 FC:201 355.00 00  
02 FC:202 360.00 00  
03 FC:203 252.00 00  
04 FC:205 65.00 00